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TRANSMITTAL FORM (to be used for all correspondence after Initial filing)	Application Number	10/036,140	
	Filing Date	December 26, 2001	
	First Named Inventor	Stanley A. McCOY, JR.	
	Group Art Unit	2151	
	Examiner Name	UNKNOWN	
Total Number of Pages in This Submission	3	Attorney Docket Number	1662-54000 (P01-3766)

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ENCLOSURES (check all that apply)		
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Remarks NO OFFICE ACTION HAS BEEN RECEIVED IN THIS MATTER, THEREFORE, NO FILING FEE IS DUE.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Jonathan M. Harris, Reg. No. 44,144 CONLEY, ROSE & TAYON, P. C.
Signature	
Date	May 6, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 6, 2002	
Typed or printed name	Colleen F. Brown
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stanley A. McCLELLAN et al.

Serial No.: 10/036,140

Filed: December 26, 2001

For: Fault Tolerance Associations
For IP Transport Protocols

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Group Art Unit: 2151

Examiner: UNKNOWN

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Att'y. Docket No. 1662-54000
Client Docket No. P01-3766
Date: May 6, 2002

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action has been received in the present application. Therefore, no filing fee is due for the filing of this Information Disclosure Statement.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)



Applicants

Stanley A. McCLELLAN et al.

Filing Date

December 26, 2001

Group

2151

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation YES NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	R. Stewart et al., <i>Stream Control Transmission Protocol (SCTP)</i> , Stream Control Transmission Protocol home page (1 p.).
AB	<i>IPS7 EDGE, Stepping Over The Edge</i> , IPS7.net (6 p.).
AC	R. Stewart et al., <i>Stream Control Transmission Protocol</i> , Network Working Group, October 2000 (126 p.).

EXAMINER**DATE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.